

## Hospital based Trials within Cohorts

Professor HM (Lenny) Verkooijen



**UMC Utrecht**

## **Trials using cohorts: guidance on design, analysis and reporting with real-world examples**

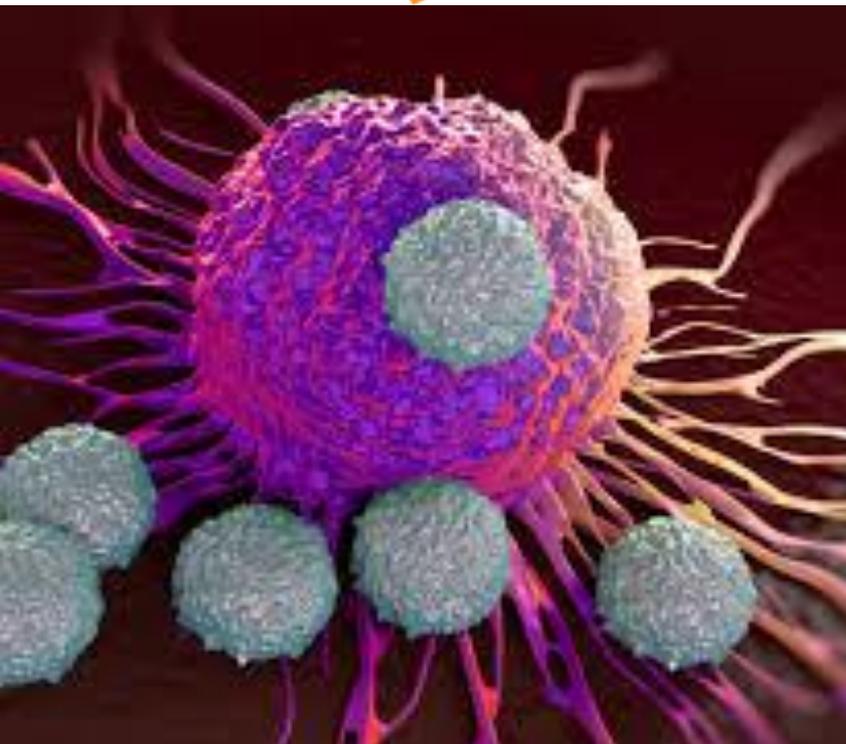
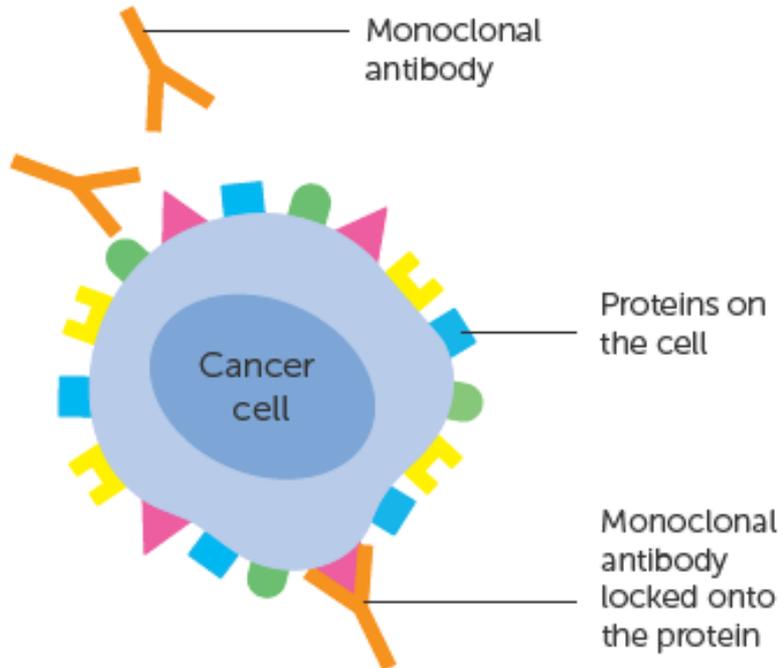
- Introduction to Trials within Cohorts
- Hospital based Trials within Cohorts
- Analysis of Trials within Cohorts
- Ethics of Trials within Cohorts

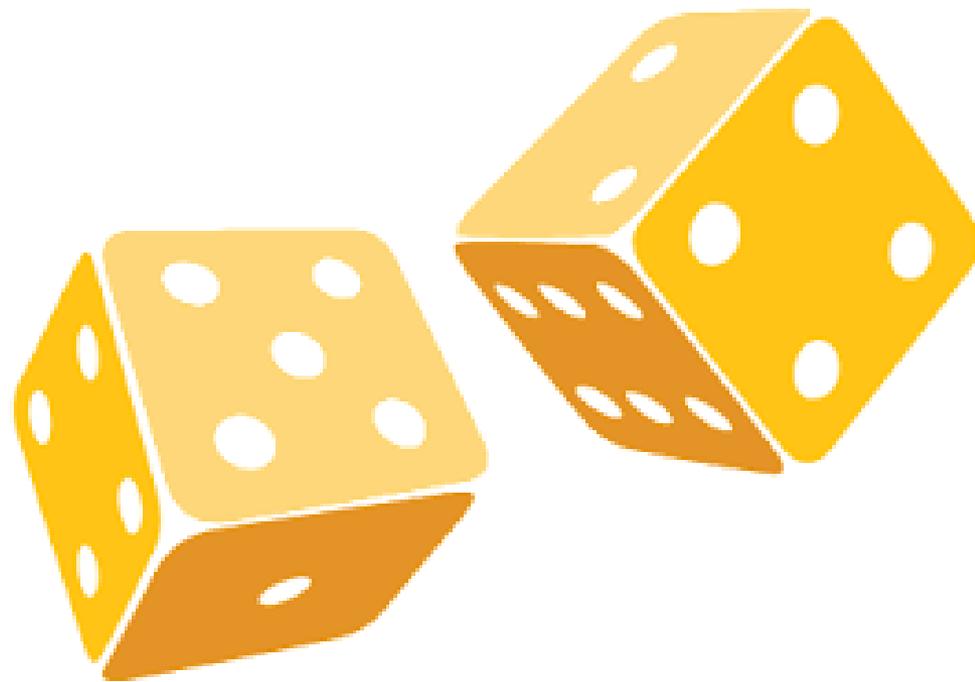


## **Trials using cohorts: guidance on design, analysis and reporting with real-world examples**

- Introduction to Trials within Cohorts
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# Classic RCTs are challenging

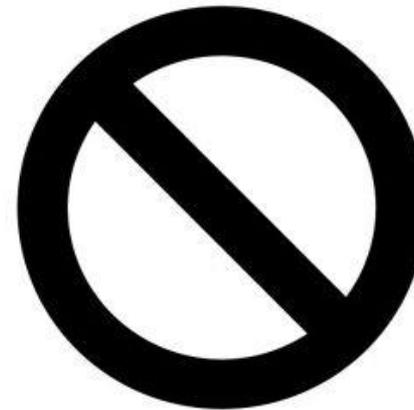
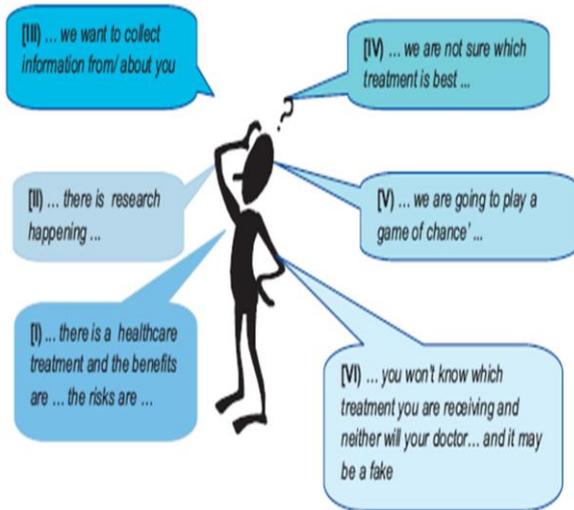
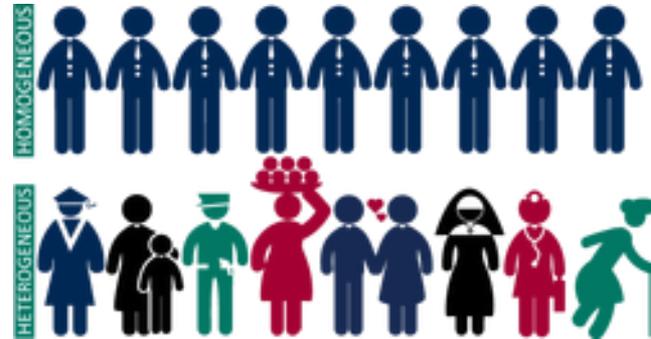


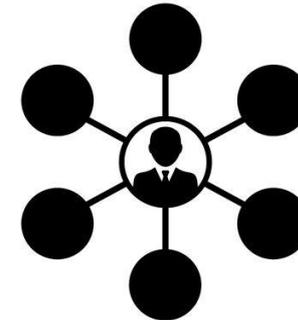
Figure 1 Informed consent – key messages from the patient's perspective.

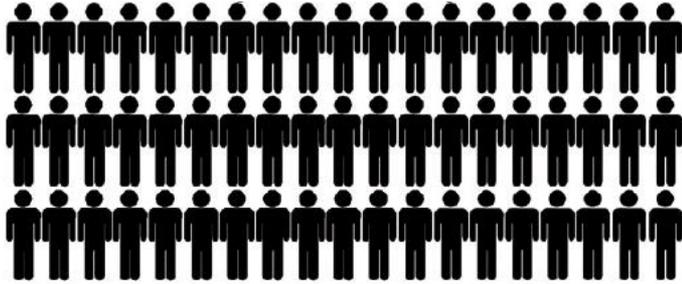


# Classic RCTs in Intervention Oncology face additional challenges



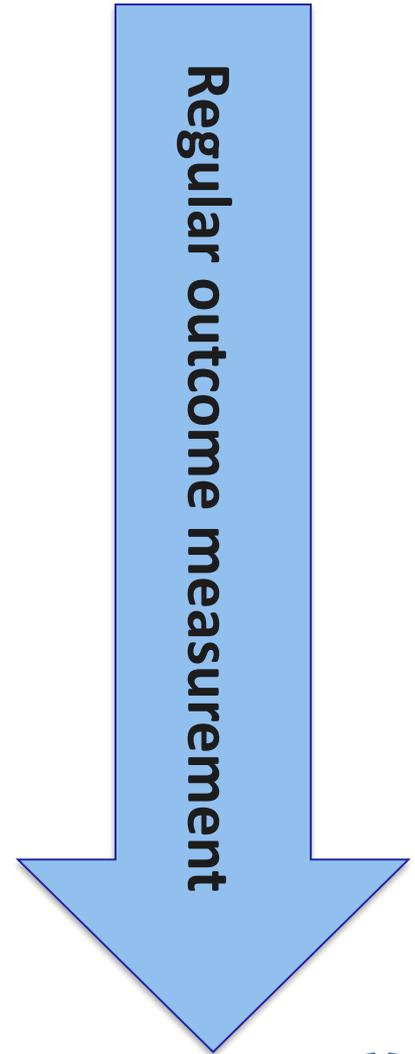
Figure 1 Informed consent – key messages from the patient's perspective.

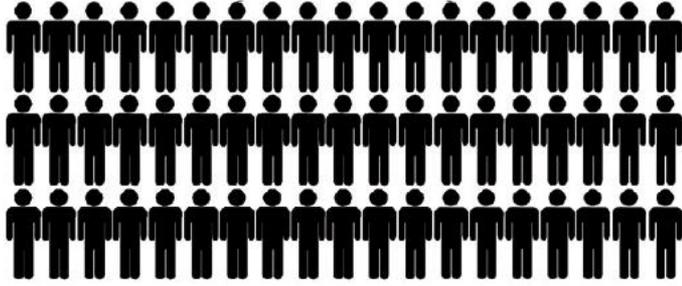




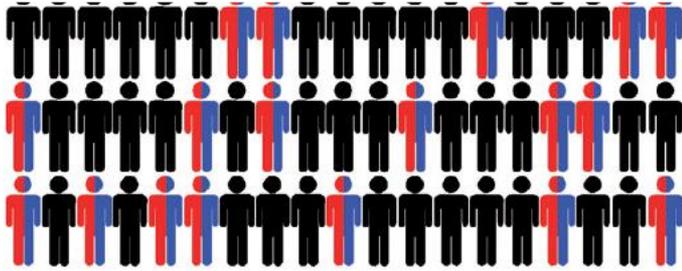
Cohort  
Registry  
Routine Care

.....





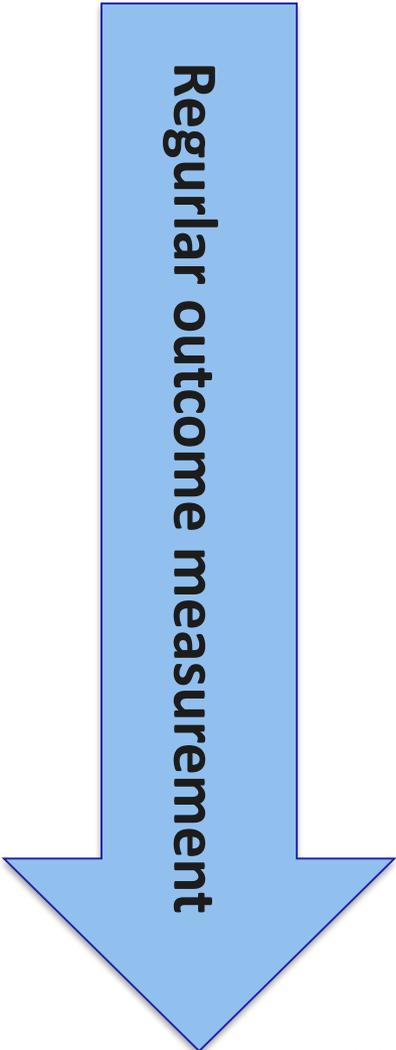
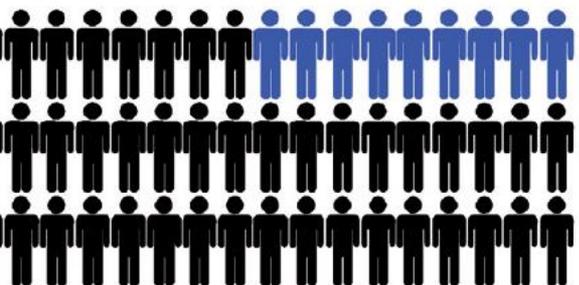
Trial within Cohort  
(TwICs)



Randomization

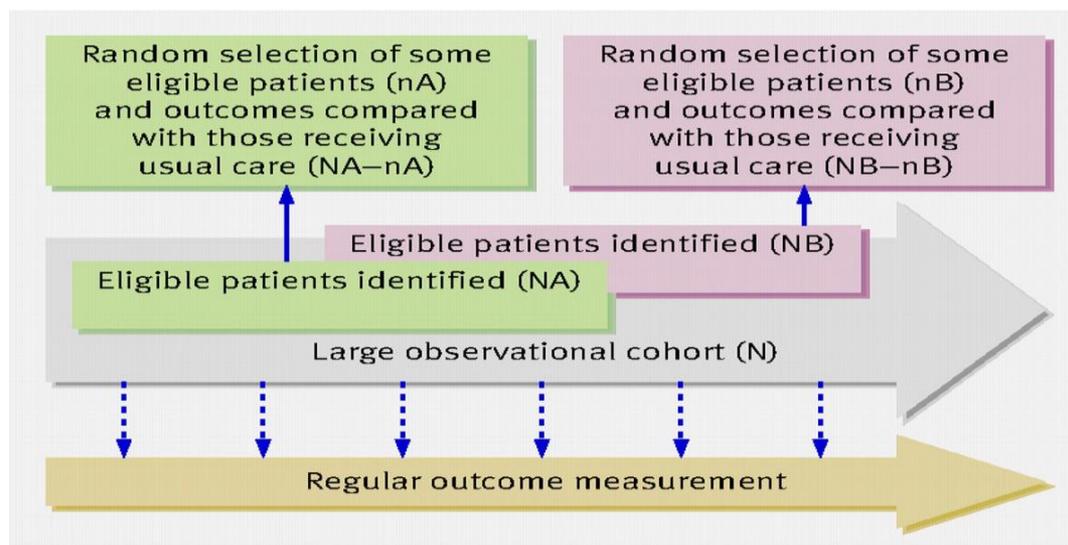
Standard of Care  
(no additional informed consent)

Intervention arm  
(additional informed consent)



# Challenges of TwiCs in the hospital setting

1. Ethics - Staged Informed Consent
2. Infrastructure to 'Learn from every patient'
3. Sequential vs. batch recruitment in dynamic cohort



# IRB UMC Utrecht / CCMO\*

“Inform patients clearly of what it means to be allocated to a TwiCs control arm.”

- Serving as control without knowing it
- Being (temporarily) ineligible for other TwiCs / intervention studies (without knowing it)

\* *Central Committee on Research involving Human Subjects*





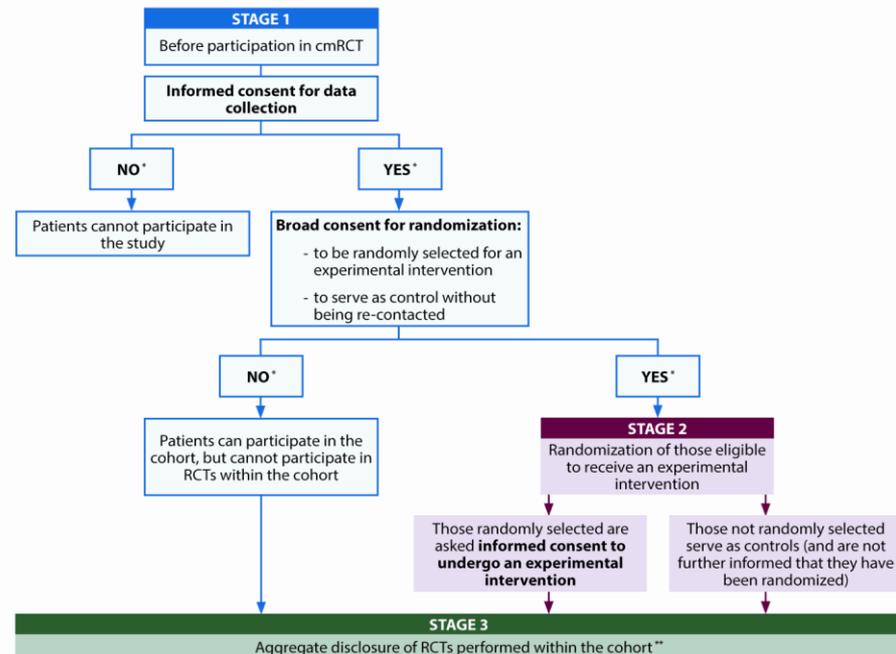
# Staged-informed Consent in the Cohort Multiple Randomized Controlled Trial Design

*Danny A. Young-Afat,<sup>a,b</sup> Helena A. M. Verkooijen,<sup>c</sup> Carla H. van Gils,<sup>a</sup> Joanne M. van der Velden,<sup>b</sup> Johannes P. Burbach,<sup>b</sup> Sjoerd G. Elias,<sup>a</sup> Jonannes J. van Delden,<sup>d</sup> Clare Relton,<sup>e</sup> Marco van Vulpen,<sup>b</sup> and Rieke van der Graaf<sup>d</sup>*

*Epidemiology • Volume 27, Number 3, May 2016*



## Staged-informed consent model for cmRCT

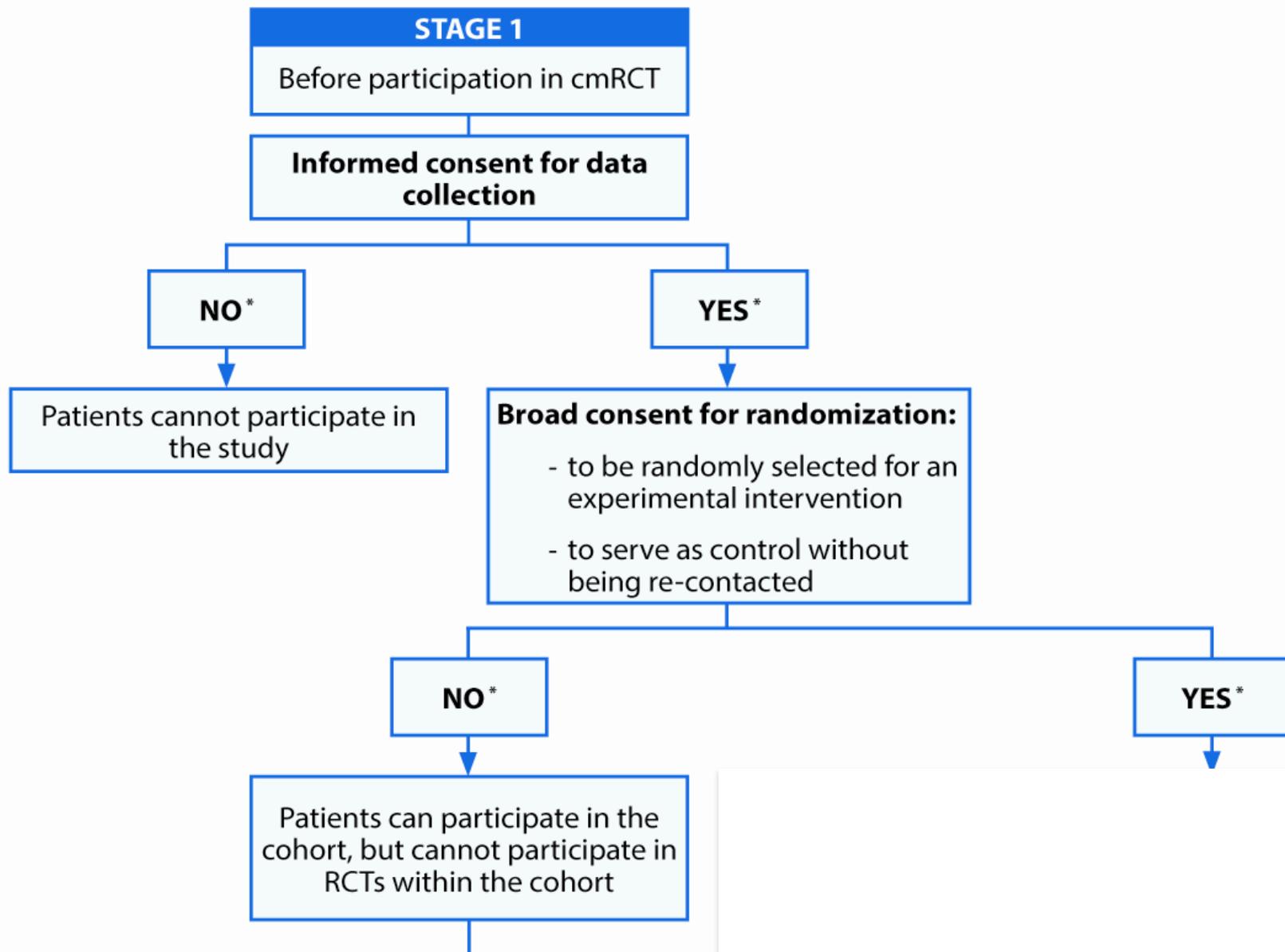


\*Dynamic informed consent model which enables participants to change their previous' yes or no' preference at any moment in time

\*\*Only provided to those who opted-in for aggregate disclosure (asked in stage 1).



# Staged-informed consent model for cmRCT



YES \*

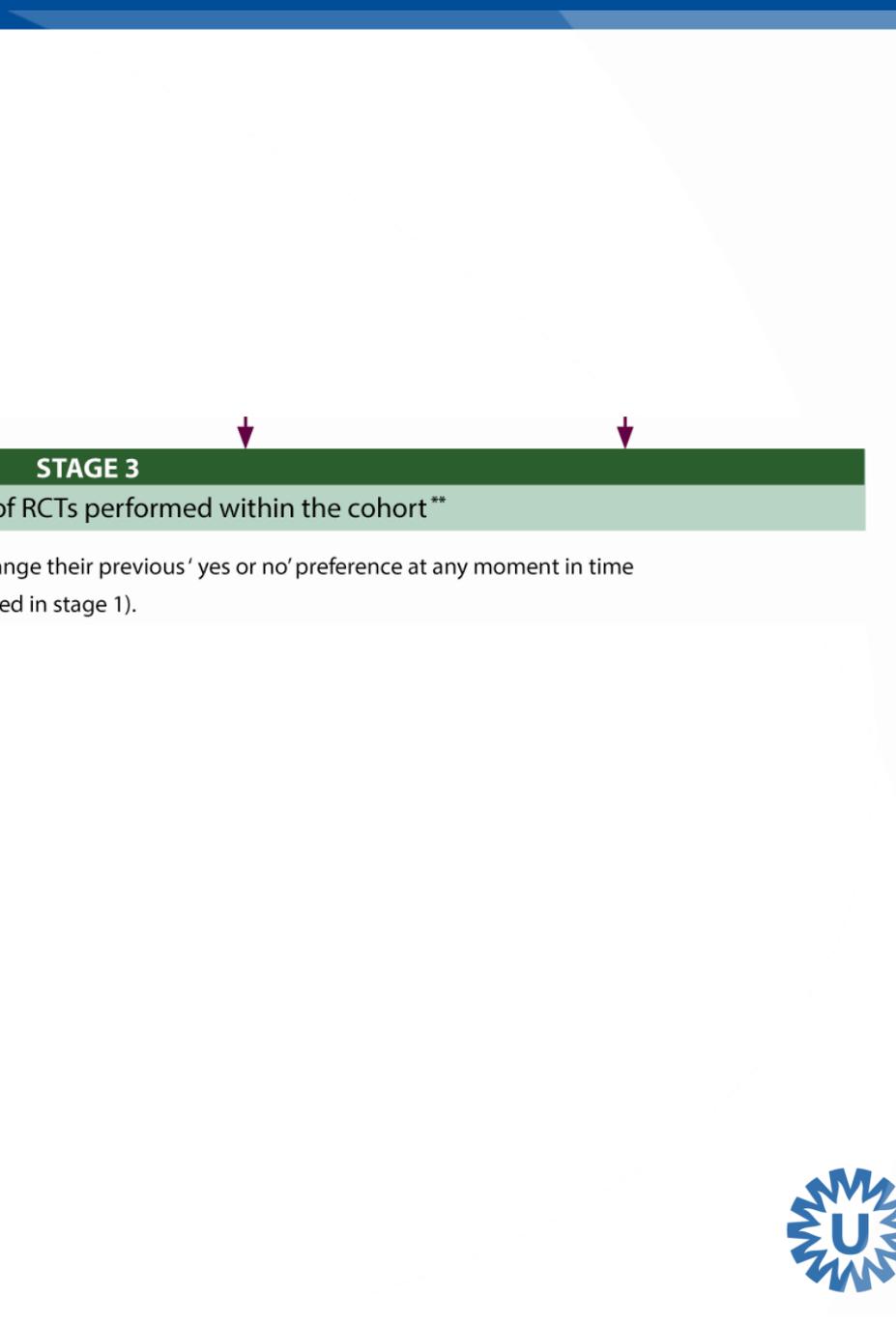
## STAGE 2

Randomization of those eligible to receive an experimental intervention

Those randomly selected are asked **informed consent to undergo an experimental intervention**

Those not randomly selected serve as controls (and are not further informed that they have been randomized)





**STAGE 3**

Aggregate disclosure of RCTs performed within the cohort\*\*

\*Dynamic informed consent model which enables participants to change their previous 'yes or no' preference at any moment in time

\*\*Only provided to those who opted-in for aggregate disclosure (asked in stage 1).



# The Innovation Clinic



## Informed consent

Re-use of clinical data



Biobanking



Patient reported outcomes profiles

Extra scans

.....

Broad consent for randomization



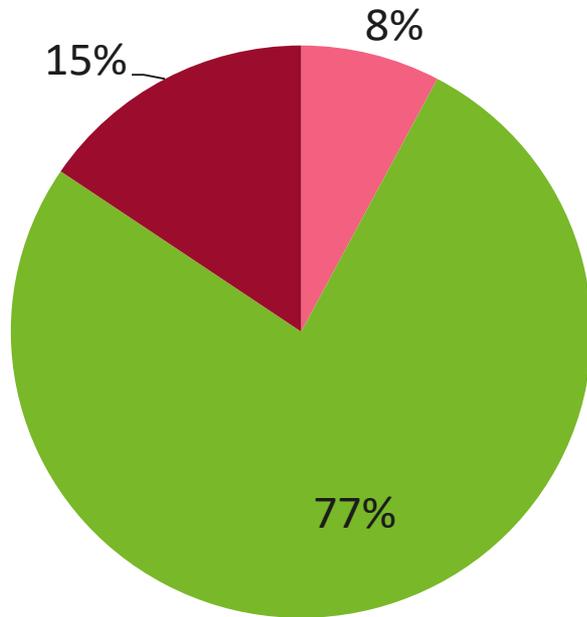
# Our hospital TwiCs infrastructure

<b>Cohort</b>	<b>Site</b>	<b>n</b>	<b>Broad consent for randomization</b>
UMBRELLA (regional)	Breast	3500+	82%
PLCRC (national)	Colorectal	11000+	83%
<i>PLCRC-Urect</i>	<i>Rectal</i>	<i>1600+</i>	<i>85%</i>
PRESENT	Bone metastases	2000+	81%
OLYMPOS	Lymph nodes	200+	76%
COIMBRA	Brain metastases	170+	72%
UPC (regional)	Prostate	400+	79%
U-Color	Lung	100+	56%

# 'Did you give broad consent for future randomization?'

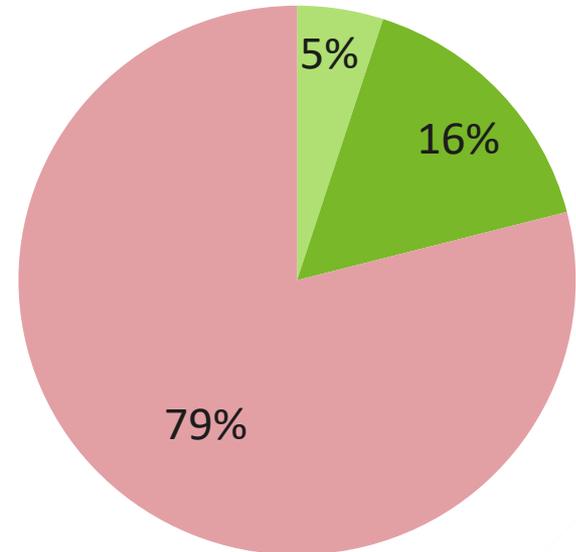
Young-Afat et al. J Clin Epi 2020

■ Do not remember ■ Consent ■ No consent



Broad consent given  
N=249

■ Do not remember ■ Consent ■ No consent



Broad consent refused  
N=63



## Pain Response After Stereotactic Body Radiation Therapy Versus Conventional Radiation Therapy in Patients With Bone Metastases: A Randomized Controlled Trial: A Prospective Cohort Study

Bart J. Pielkenrood, MD,\* Joanne M. van der Linden, MD,† Yvette M. van der Linden, MD,† Nicolien Kasperts, MD,\* Joost J. Wietse, MD,‡ Corina E. Enninga, MD,\* Roy J. Borja, MD,\* and Jorrit J. Jorrit

The impact of retractor SPONGE-assisted laparoscopic surgery on duration of hospital stay and postoperative complications in patients with colorectal cancer (SPONGE trial): study protocol for a randomized controlled trial

Alice M. Couwenberg<sup>1\*</sup>, Maarten J. P. Burbach<sup>1</sup>, Anke B. Smits<sup>2</sup>, Marco Van Vulpen<sup>1</sup>, Wilhemina M. U. Van Grevenstein<sup>3</sup>, Peter G. Noordzij<sup>4</sup> and Helena M. Verkooijen<sup>5</sup>

Circulating tumor DNA guided adjuvant chemotherapy in stage II colon cancer (MEDOCC-CrEATE): study protocol for a trial within a cohort study

S. J. Schraa<sup>1†</sup>, K. L. van Rooijen<sup>1†</sup>, D. E. W. J. Simmons<sup>4</sup>, V. M. H. Coupé<sup>5</sup>, W. M. U. van D. van den Broek<sup>9</sup>, G. A. Meijer<sup>2</sup>, V. E. Velthuis<sup>10</sup> on behalf of the PLCRC-MEDOCC group

Assessing the effect of hyperbaric oxygen therapy in breast cancer patients with late radiation toxicity (HONEY trial): a trial protocol using a trial within a cohort design

M. C. T. Batenburg<sup>1\*</sup>, H. J. G. D. van den Bongard<sup>1</sup>, C. E. Kleyne<sup>1</sup>, W. Maarse<sup>2</sup>, A. Witkamp<sup>3</sup>, M. Ernst<sup>4</sup>, A. Doeksen<sup>5</sup>, T. van Dalen<sup>6</sup>, M. Sier<sup>5,7</sup>, E. J. P. Schoenmaeckers<sup>8</sup>, I. O. Baas<sup>9</sup> and H. M. Verkooijen<sup>10</sup>

The effects of exercise on quality of life of patients with breast cancer (UMBRELLA Fit study): study protocol for a randomized controlled trial

Roxanne Gal<sup>1</sup>, Evelyn M. Monninkhof<sup>1</sup>, Rolf H. H. Groenwold<sup>1</sup>, Carla H. van Gils<sup>1</sup>, Desiree H. J. G. van den Bongard<sup>2</sup>, Petra H. M. Peeters<sup>1</sup>, Helena M. Verkooijen<sup>3</sup> and Anne M. May<sup>1\*</sup>



## Stereotactic Body Radiation Therapy Versus Conventional Radiation Therapy for Bone Metastases—A Phase 2 Randomized Controlled Trial Within a Cohort Study

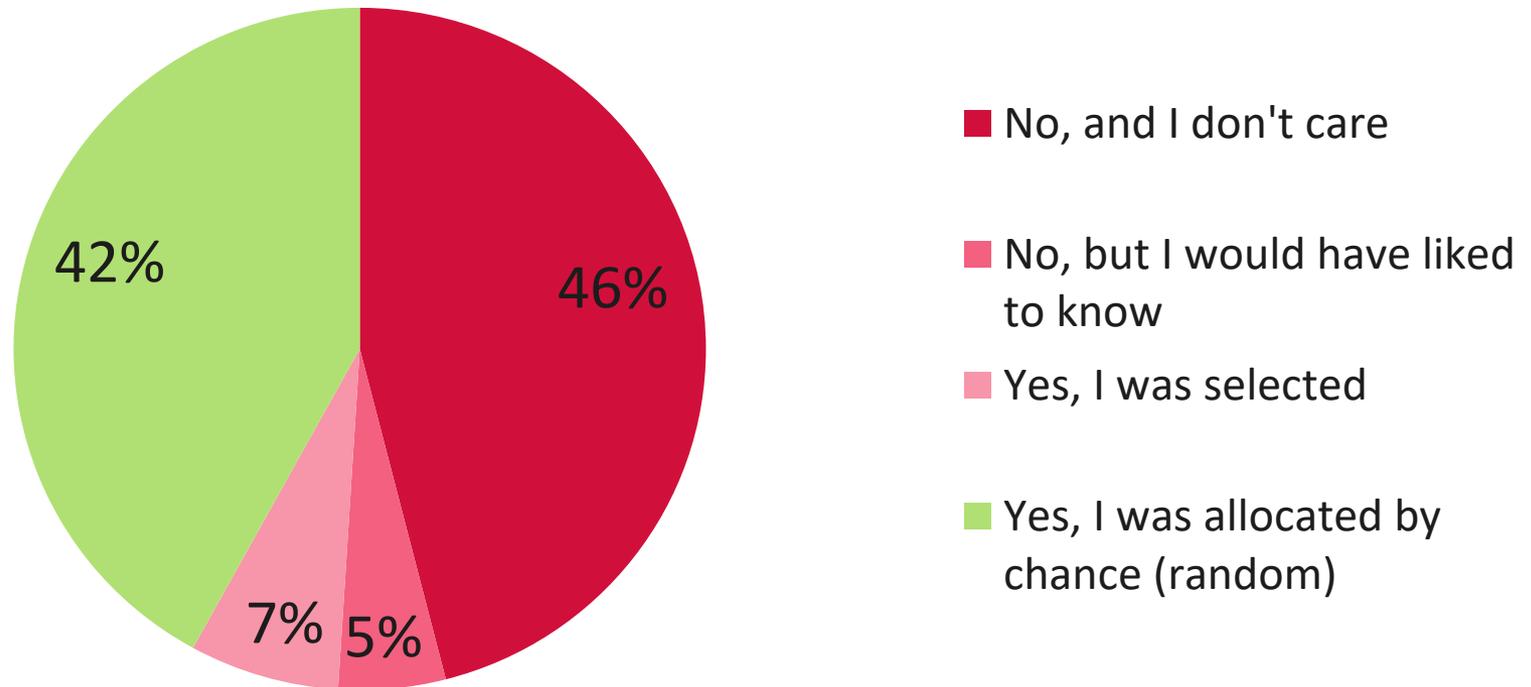
Joanne M. van der Velden, MD, PhD,† Yvette M. van der Linden, MD,† Bart J. Pielkenrood, MD,\* Roy J. Borja, MD,\* and Jorrit J. Jorrit

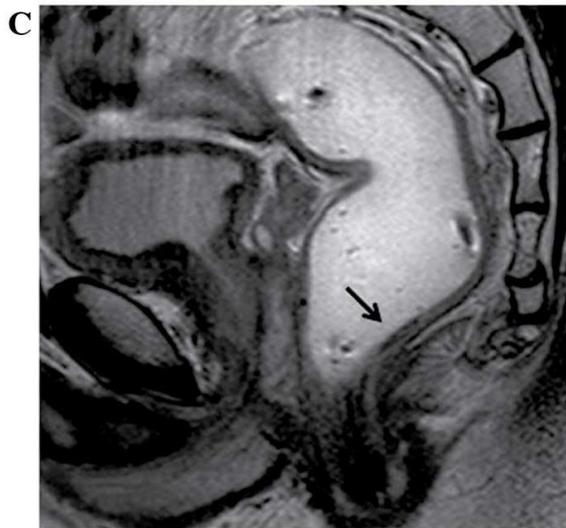
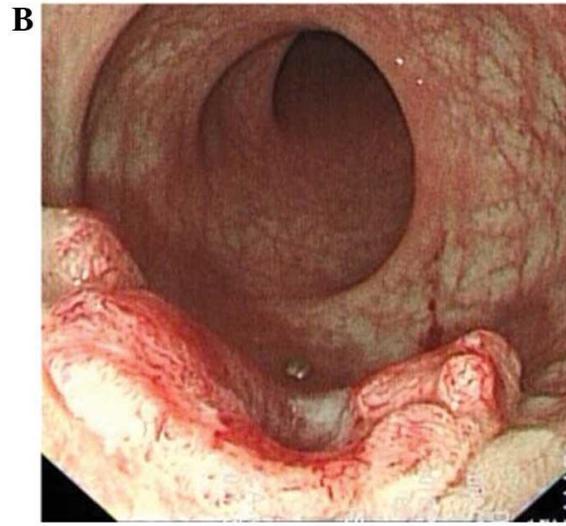
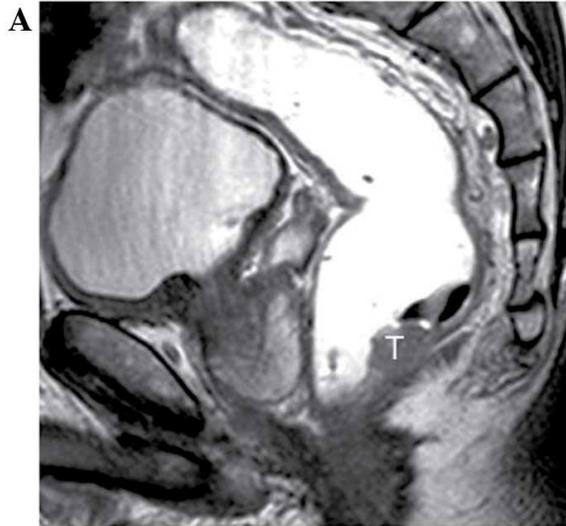
MD, PhD<sup>||</sup>



# 'Do you understand how you have been selected for the experimental intervention?'

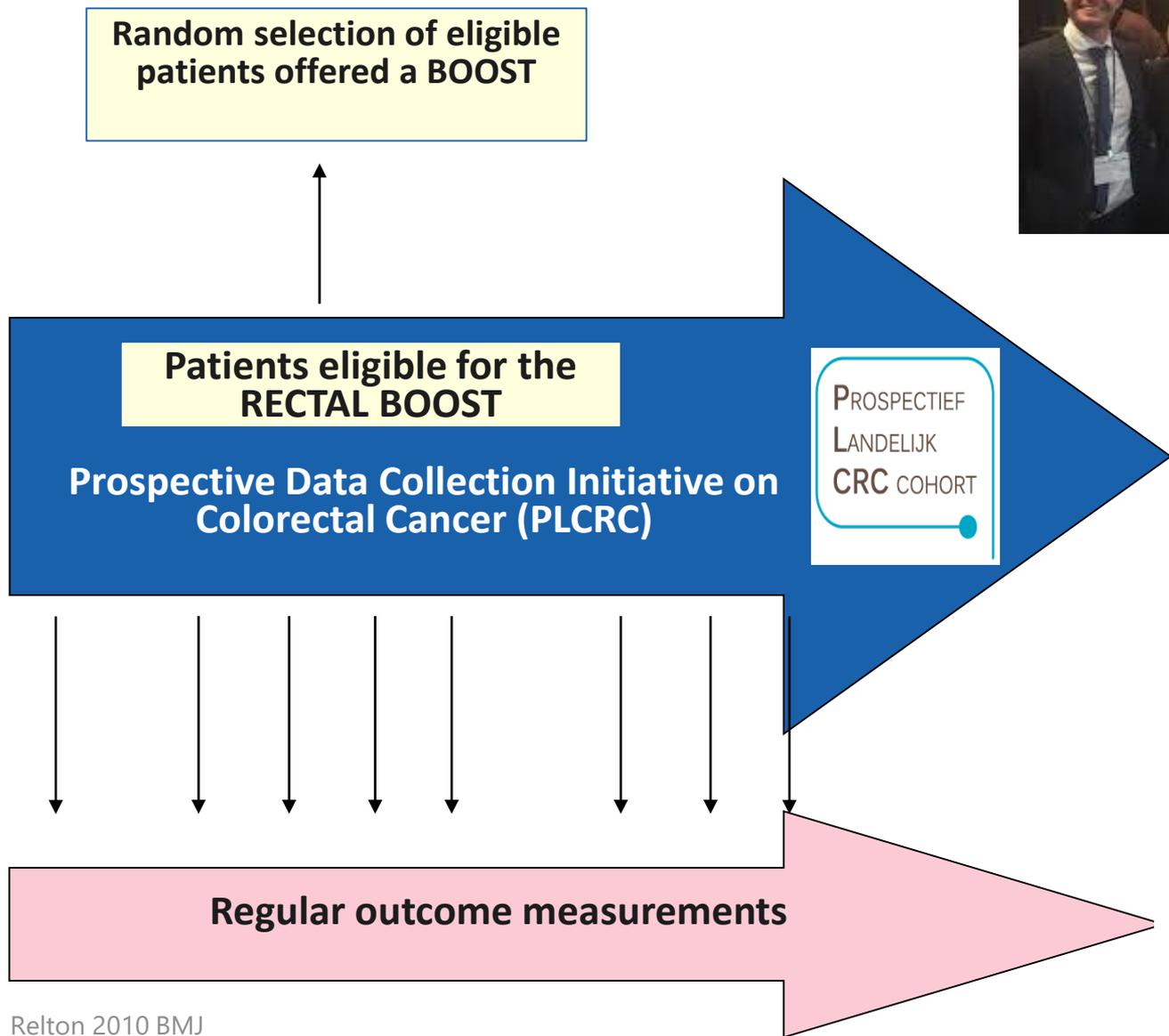
*N=108*





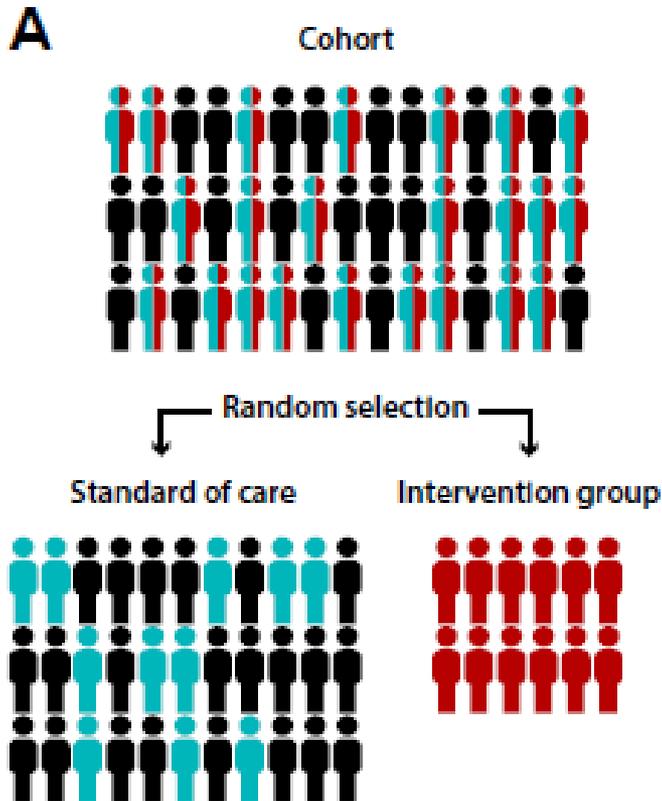
Does a 15 Gy radiation boost increase the probability of pathological complete response in patients with locally advanced rectal cancer?





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# Timing of Randomisation



Journal of Clinical Epidemiology 120 (2020) 33–39

Journal of  
Clinical  
Epidemiology

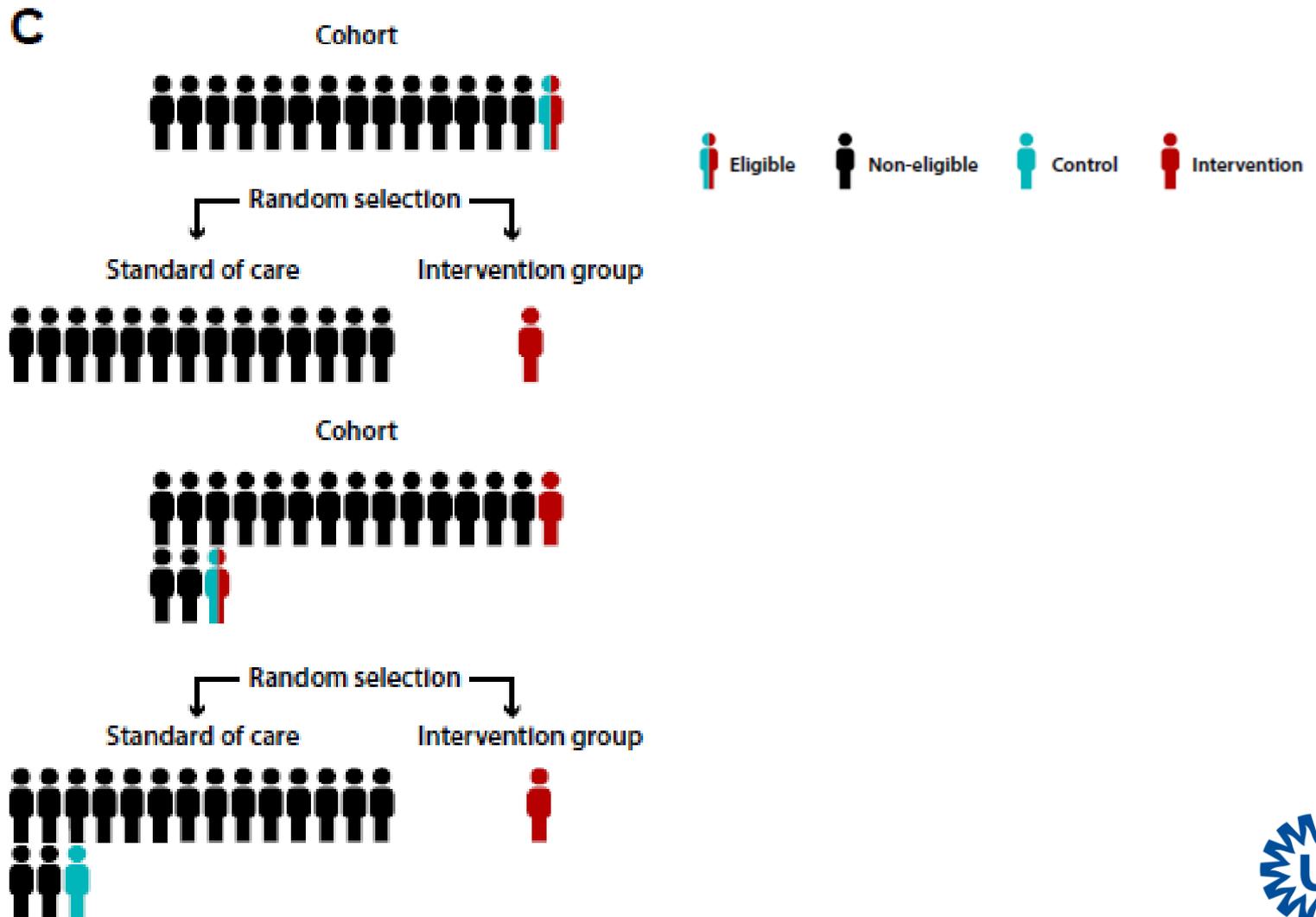
## ORIGINAL ARTICLE

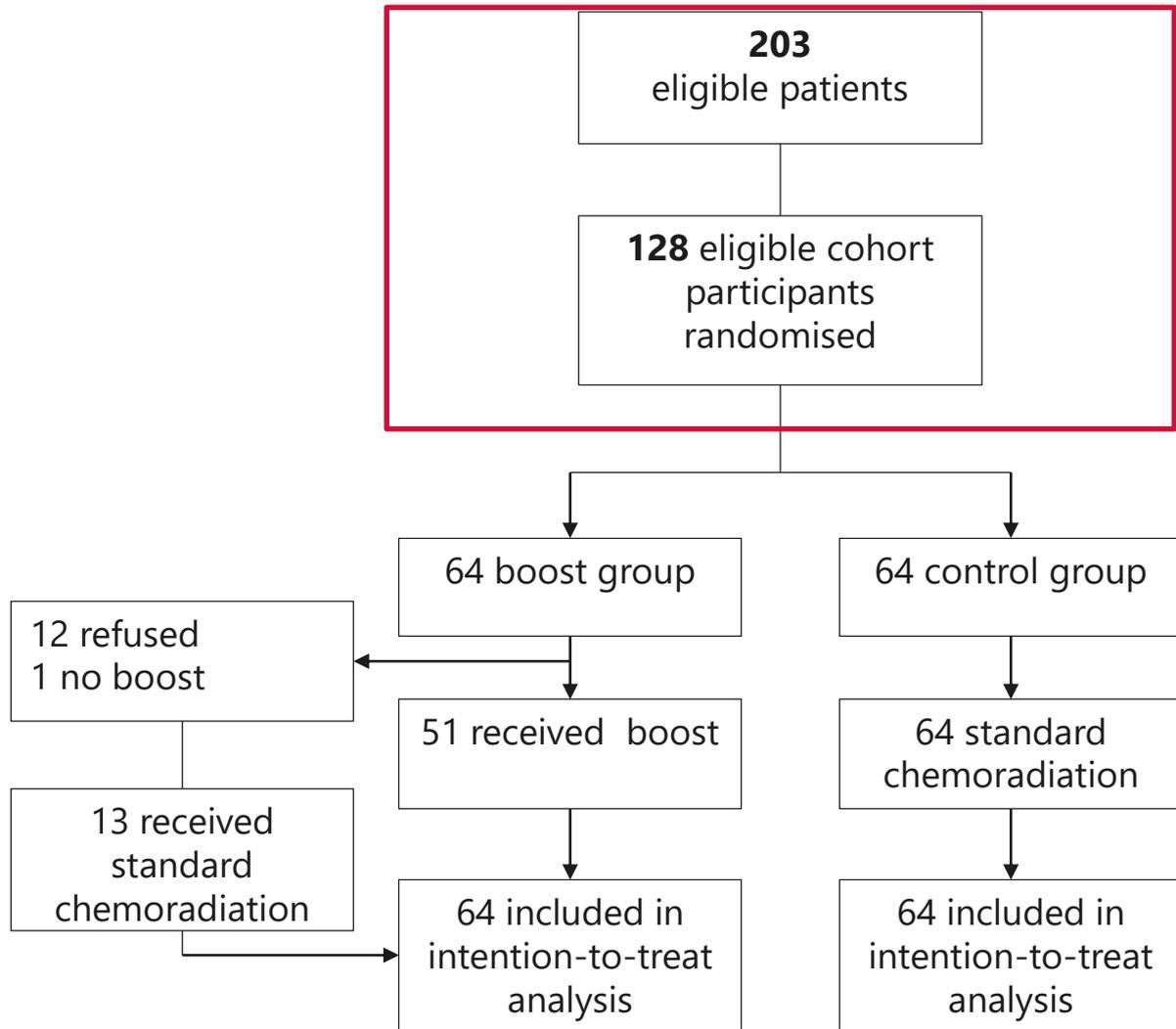
The trials within cohorts design facilitated efficient patient enrollment and generalizability in oncology setting

Alice M. Couwenberg<sup>a,\*</sup>, Johannes P.M. Burbach<sup>b</sup>, Anne M. May<sup>c</sup>, Maaïke Berbee<sup>d</sup>,  
Martijn P.W. Intven<sup>a</sup>, Helena M. Verkooijen<sup>e,f</sup>



# Sequential randomization in dynamic cohort





63%

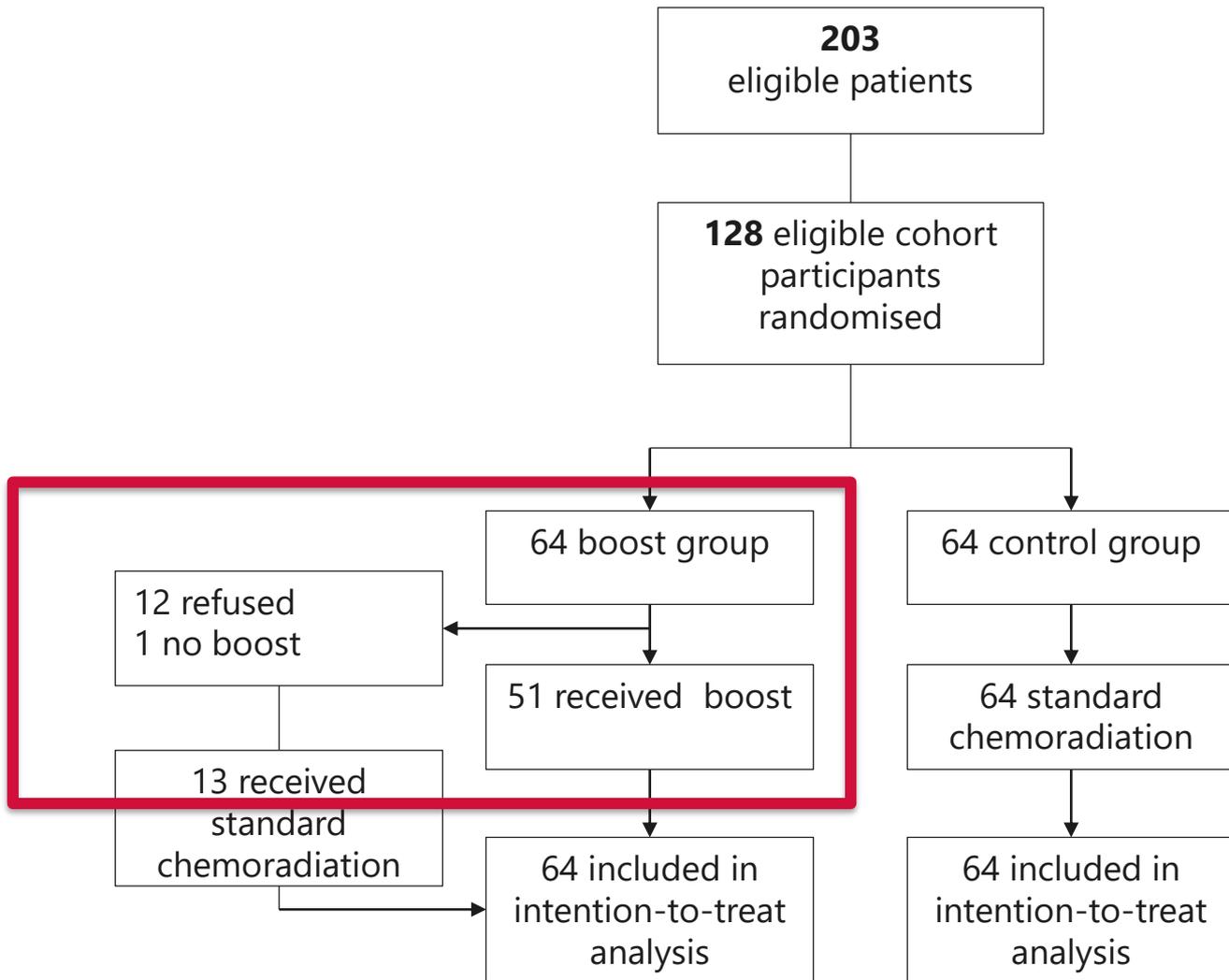


## RECTAL BOOST

## General rectal cancer population (IKNL)

Age, median years (IQR)	64 (55 – 70)	65 (57 – 70)
Male	95 (74.2%)	240 (60.6%)
No comorbidity	57 (44.5%)	174 (43.9%)
T2	7 (5.5%)	28 (7.1%)
T3	90 (70.3%)	251 (63.4%)
T4	31 (24.2%)	117 (29.5%)
Clinically node negative	14 (10.9%)	37 (9.3%)





63%

81%



# Results

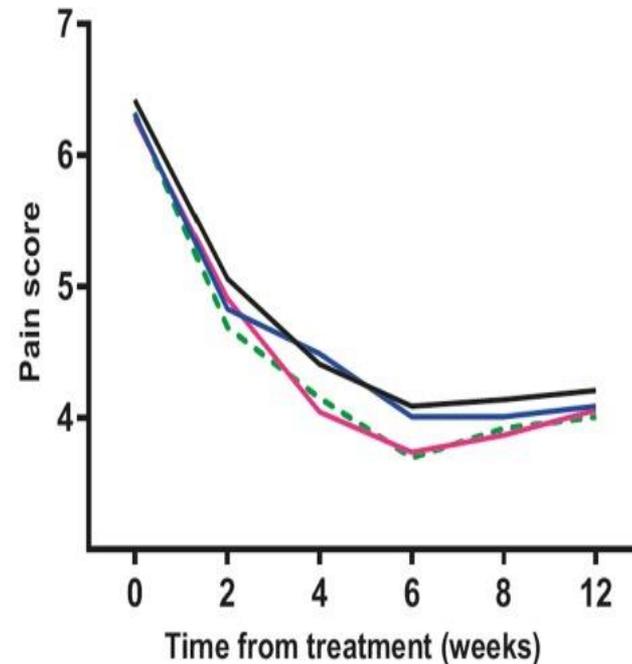
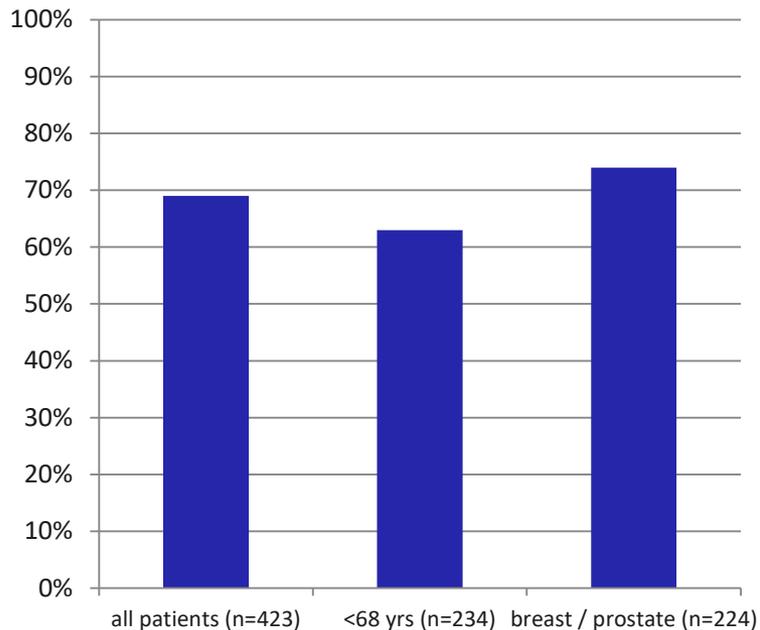
*Primary outcome*

<b>BOOST</b> <b>(n=64)</b>	<b>CONTROL</b> <b>(n=64)</b>	<b>P-</b> <b>value</b>
<b>36%</b>	<b>37%</b>	<b>0.86</b>



# PRESENT cohort – metastatic bone disease

% Patients showing partial/complete pain response



— All patients	416	224	186	208	207	190
— Patients with spinal metastases	278	175	125	135	137	122
— Patients with breast or prostate cancer	215	123	111	129	127	119
- - - Patients in good physical condition	200	120	98	112	114	106



STUDY PROTOCOL

Open Access

# Stereotactic versus conventional radiotherapy for pain reduction and quality of life in spinal metastases: study protocol for a randomized controlled trial



Pètra Braam<sup>1\*</sup>, Philippe Lambin<sup>2</sup> and Johan Bussink<sup>1</sup>

BMC Cancer

STUDY PROTOCOL

Open Access

# Comparing conVEntional RadioTherapy with stereotactiC body radiotherapy in patients with spinAL metastases: study protocol for an randomized controlled trial following the cohort multiple randomized controlled trial design



Joanne M. van der Velden<sup>1\*</sup>, Helena M. Verkooijen<sup>1,2</sup>, Enrica Seravalli<sup>1</sup>, Jochem Hes<sup>1</sup>, A. Sophie Gerlich<sup>1</sup>, Nicolien Kasperts<sup>1</sup>, Wietse S. C. Eppinga<sup>1</sup>, Jorrit-Jan Verlaan<sup>3</sup> and Marco van Vulpen<sup>1</sup>



STUDY PROTOCOL

Open Access

Stereotactic versus conventional radiotherapy for survival and quality of life in spinal metastases: study protocol for a randomized controlled trial



Petra Braam<sup>1\*</sup>, Philippe Lambin<sup>2</sup> and JORRIT VERLAAN

BMC Cancer



STUDY PROTOCOL

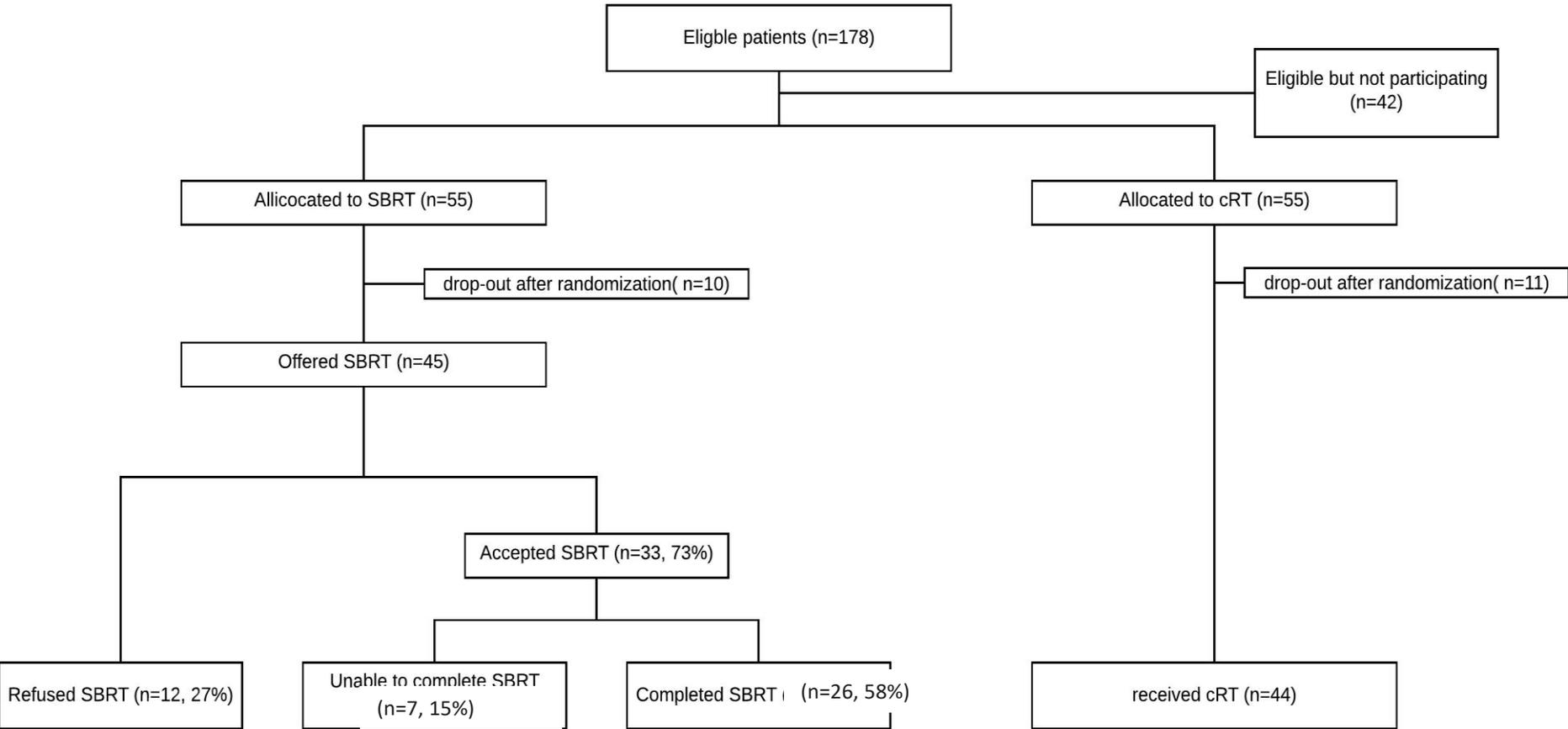
Open Access

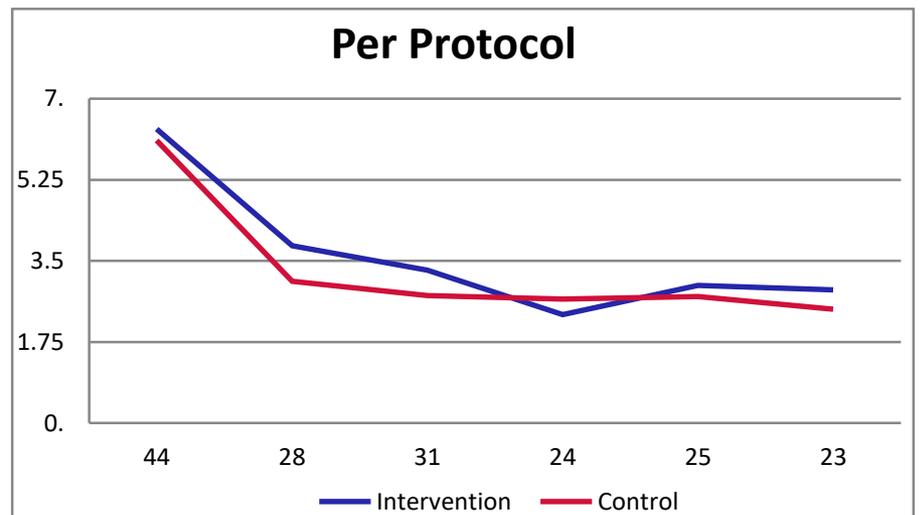
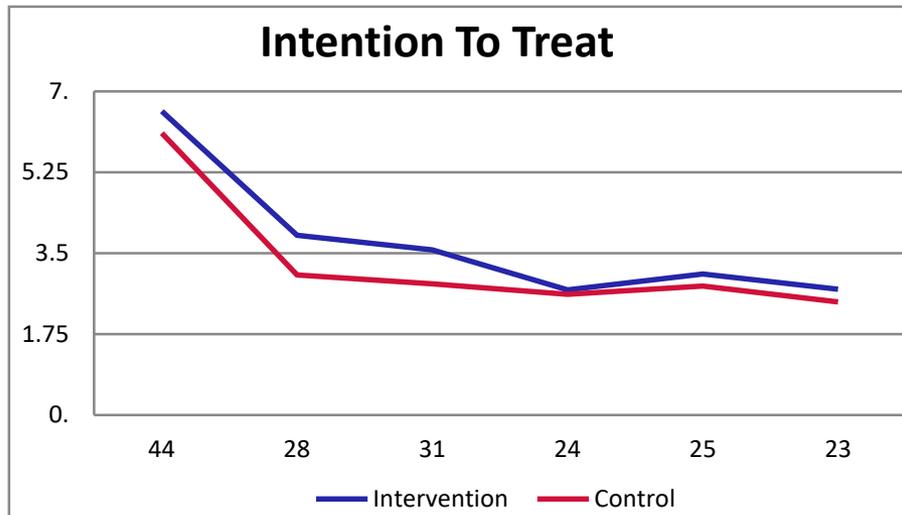
Comparing conventional Radiotherapy with stereotactic body radiotherapy in patients with spinal metastases: study protocol for an randomized controlled trial following the cohort multiple randomized controlled trial design



Joanne M. van der Velden<sup>1\*</sup>, Helena M. Verkooijen<sup>1,2</sup>, Enrica Seravalli<sup>1</sup>, Jochem Hes<sup>1</sup>, A. Sophie Gerlich<sup>1</sup>, Nicolien Kasperts<sup>1</sup>, Wietse S. C. Eppinga<sup>1</sup>, Jorrit-Jan Verlaan<sup>3</sup> and Marco van Vulpen<sup>1</sup>

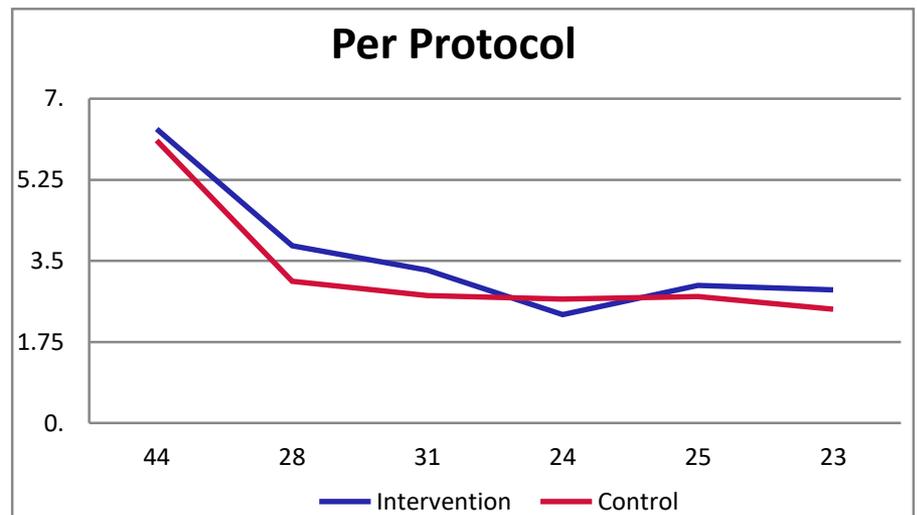
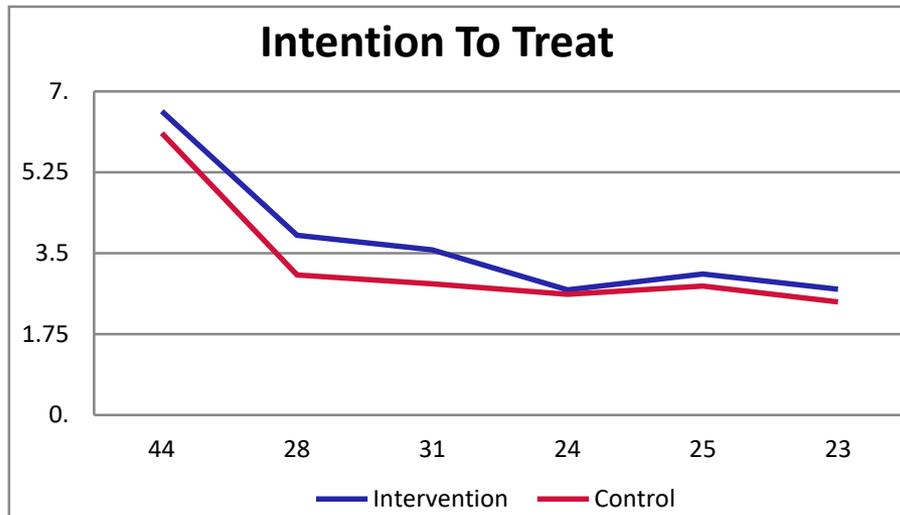






Mean pain scores





Original Report

## RTOG 0631 phase 2/3 study of image guided stereotactic radiosurgery for localized (1-3) spine metastases: Phase 2 results

Samuel Ryu MD<sup>a,\*</sup>, Stephanie L. Pugh PhD<sup>b</sup>, Peter C. Gerszten MD, MPH<sup>c</sup>, Fang-Fang Yin PhD<sup>d</sup>, Robert D. Timmerman MD<sup>e</sup>, Ying J. Hitchcock MD<sup>f</sup>, Benjamin Movsas MD<sup>a</sup>, Andrew A. Kanner MD<sup>g</sup>, Lawrence B. Berk MD<sup>h</sup>, David S. Followill PhD<sup>i</sup>, Lisa A. Kachnic MD<sup>j</sup>

International trial

65 institutions

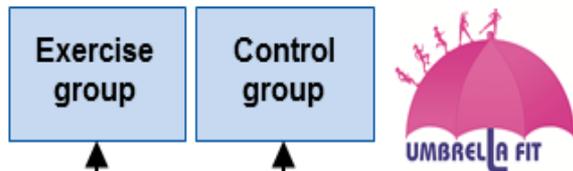
N=339

Recruitment 2009 – 2018

‘No difference in pain response between SBRT and conventional RT for patients with spinal metastases’

Astro, Chicago, 2019





# Prospective cohort

(UMBRELLA cohort)



Subpopulation

Repeated measurements →

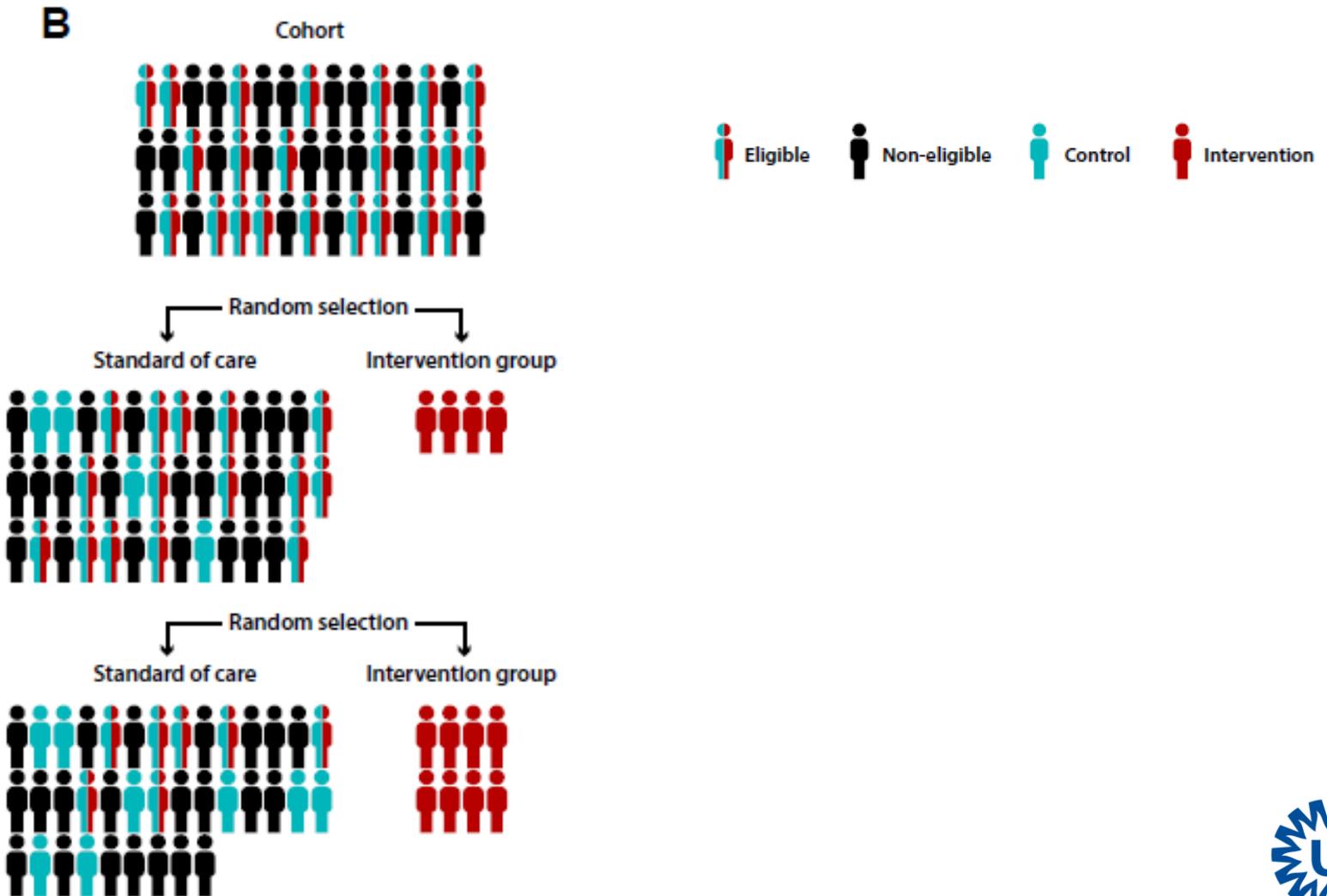


12-m      18-m      24-m      30-m      36-m      etc.  
**UMBRELLA Fit inclusion and follow-up** (up to 10 years)

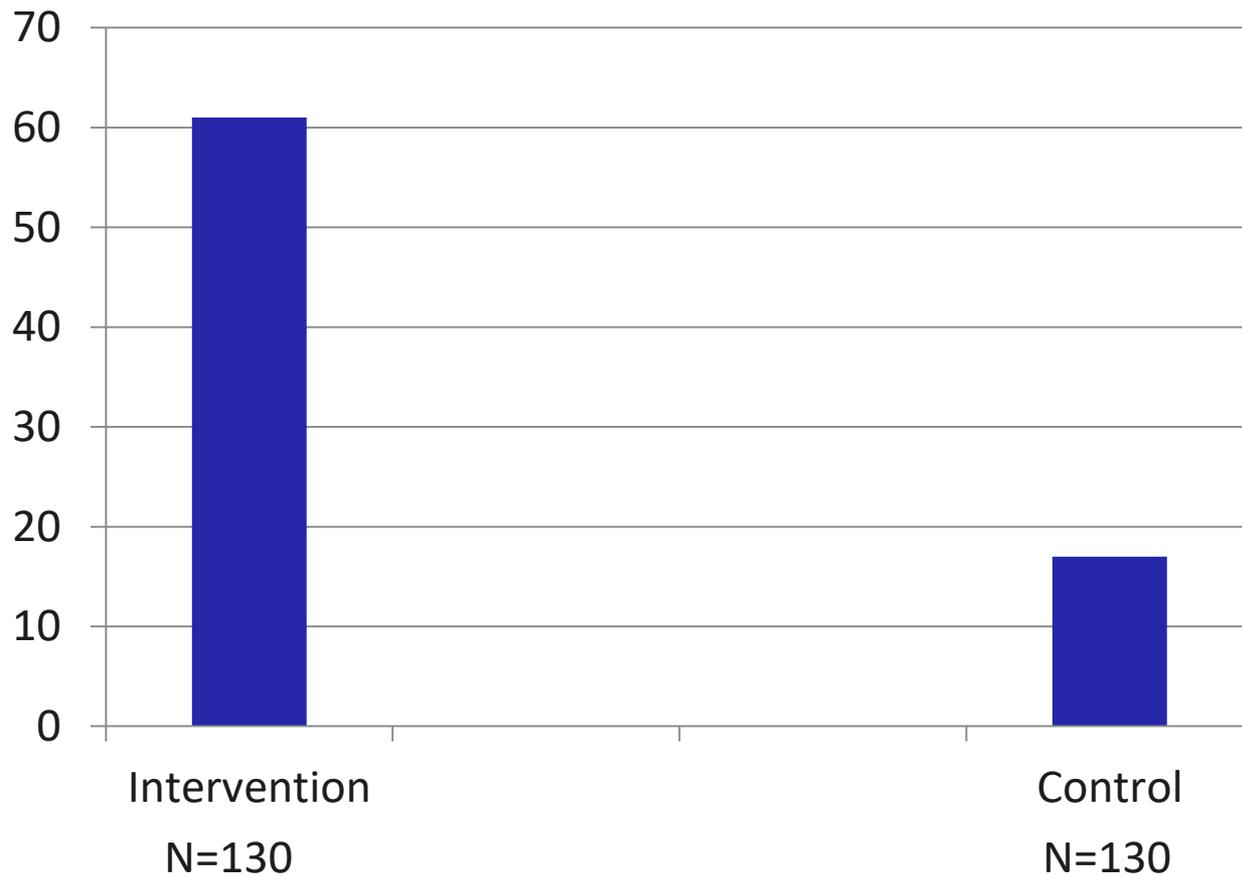
Inclusio 3-m 6-m  
 (intake Radiotherapy)



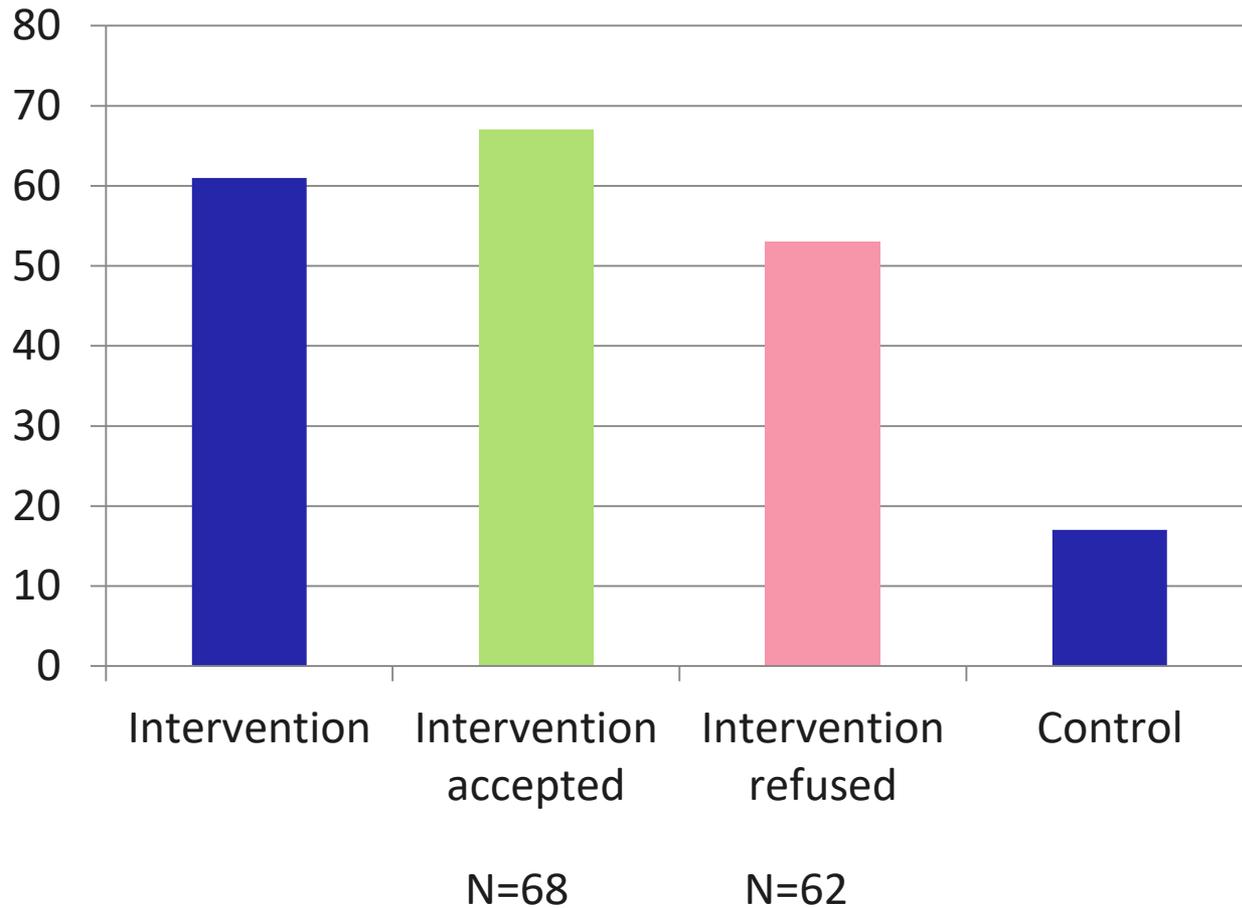
# Batch randomization in (dynamic) cohort



# Change in physical activity level Between baseline to 6-months follow-up (minutes per week)

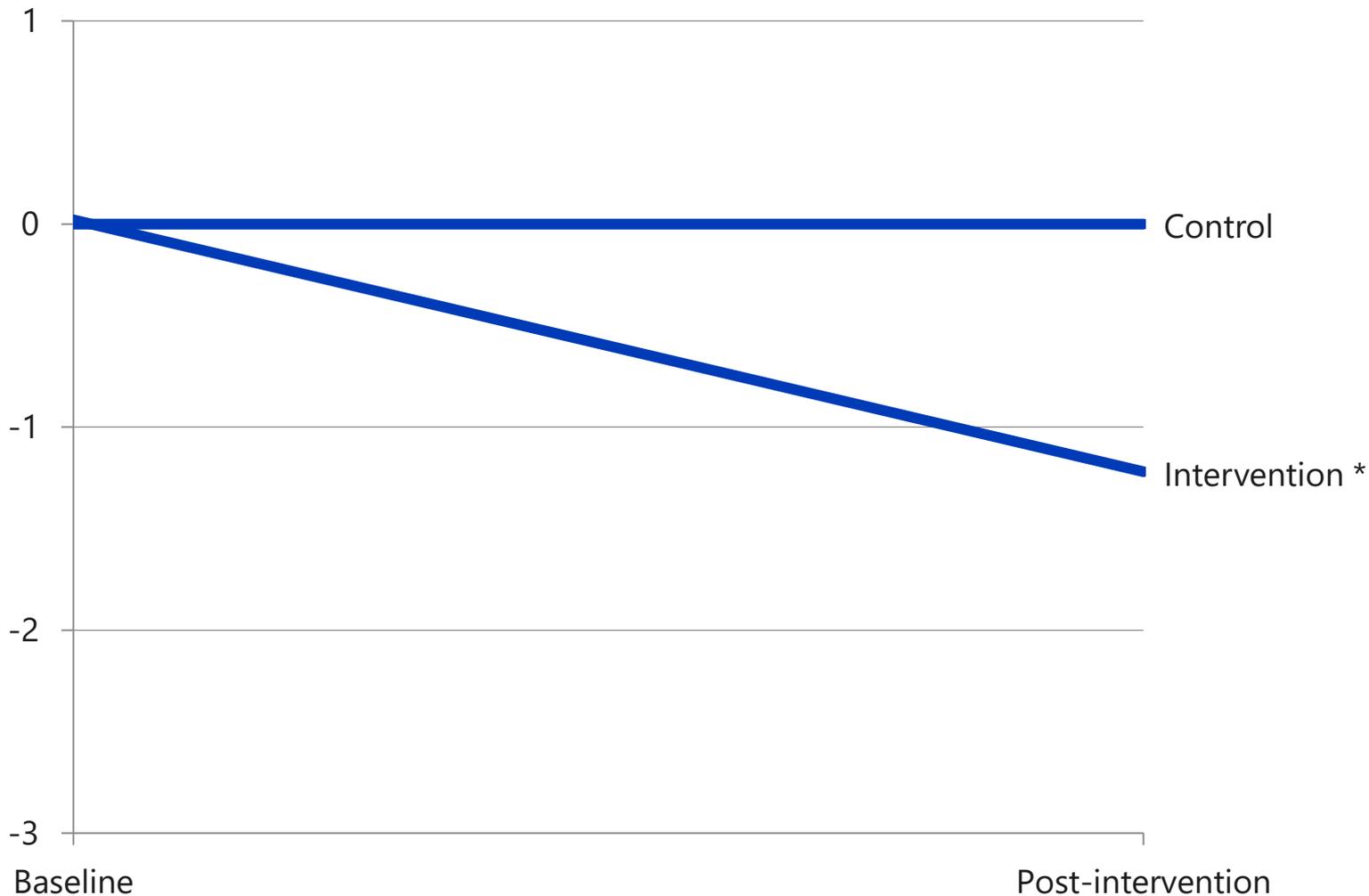


# Change in physical activity level Between baseline to 6-months follow-up (minutes per week)



# Difference in change in physical fatigue (ITT)

*Lower score indicates less fatigue problems*

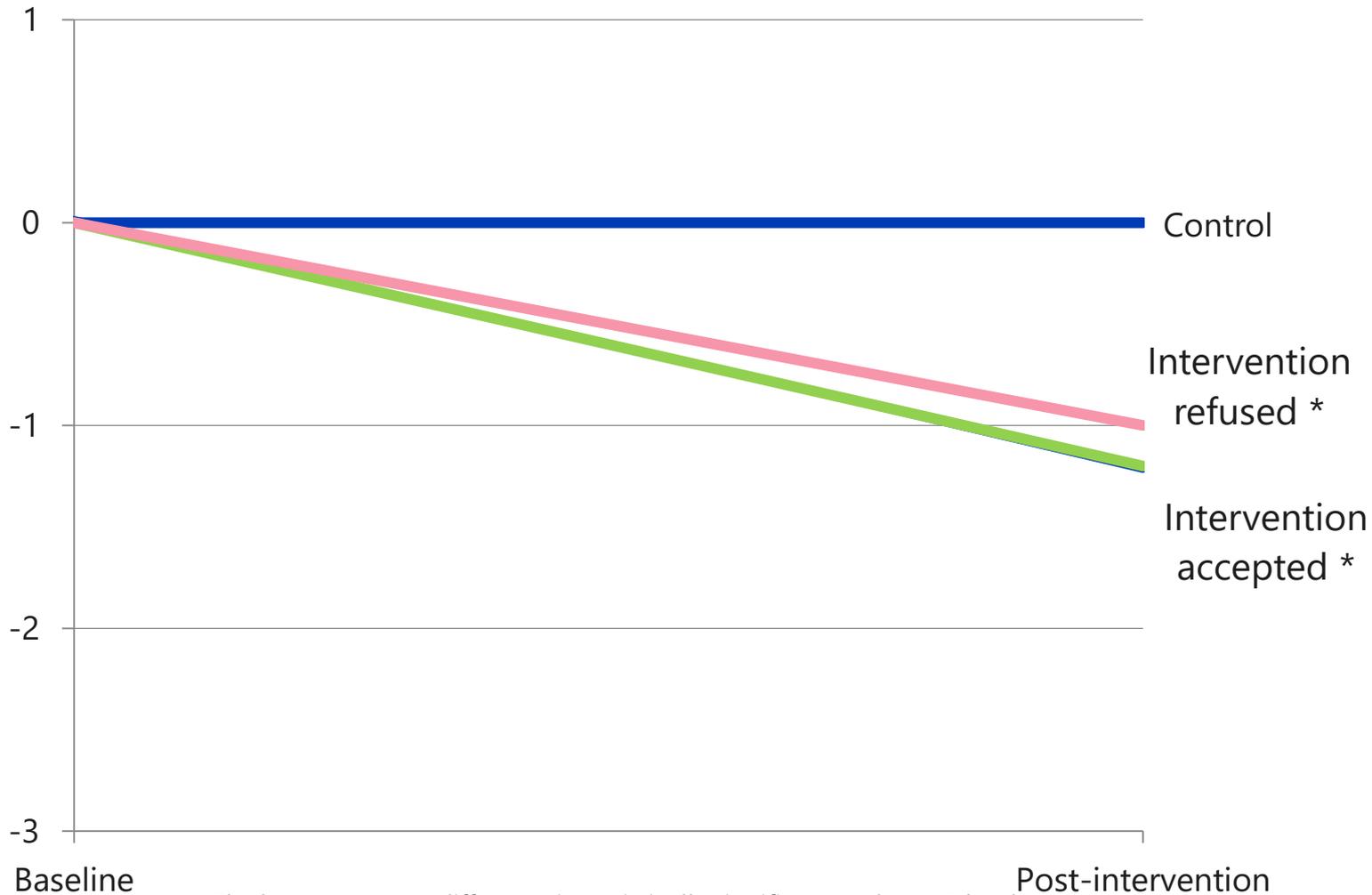


*\* The between-group difference is statistically significant at the 0.05 level*



# Difference in change in physical fatigue (ITT)

*Lower score indicates less fatigue problems*



\* The between-group difference is statistically significant at the 0.05 level



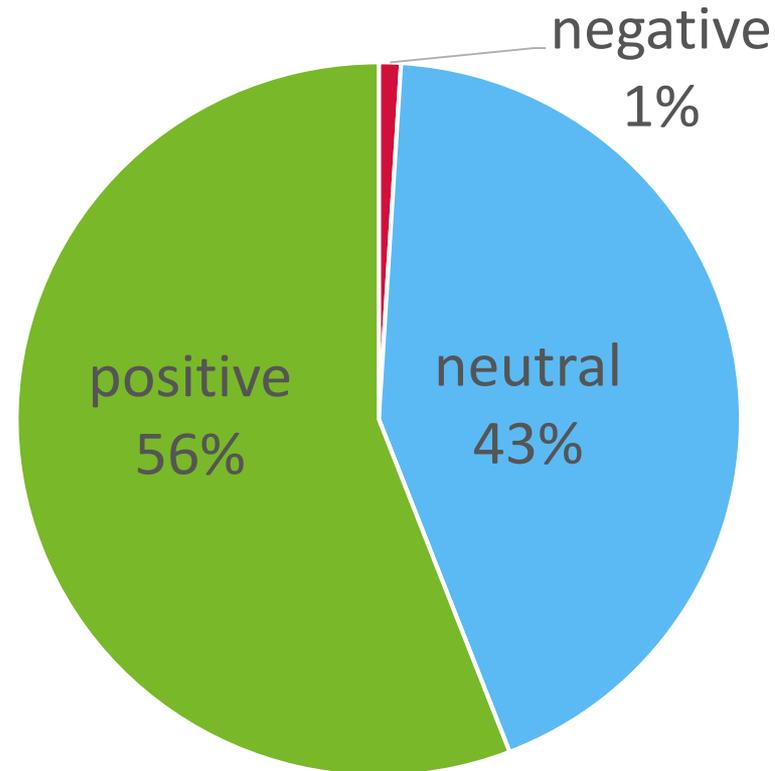
# TwICs in clinical oncology: Which advantages have been confirmed?

- Patient-centred informed consent
  - improved recruitment rates ✓
  - more representative sample ✓
- Prevention of contamination ✓



# 'How do you feel about having served as a control in a clinical trial without knowing?'

n=102

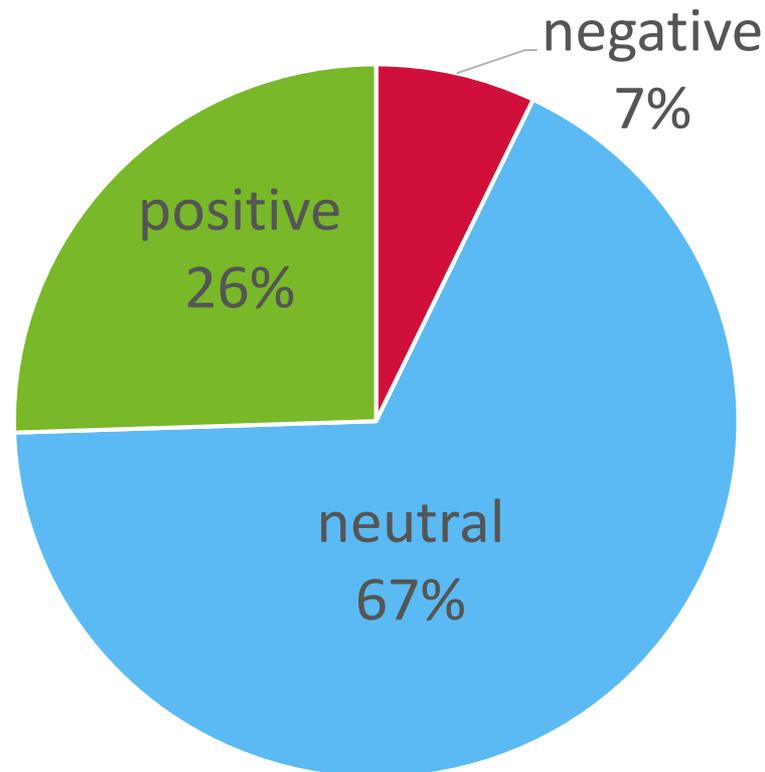


Verweij et al. In preparation



'How do you feel about the fact that we did not inform you of being a control in a clinical trial?'

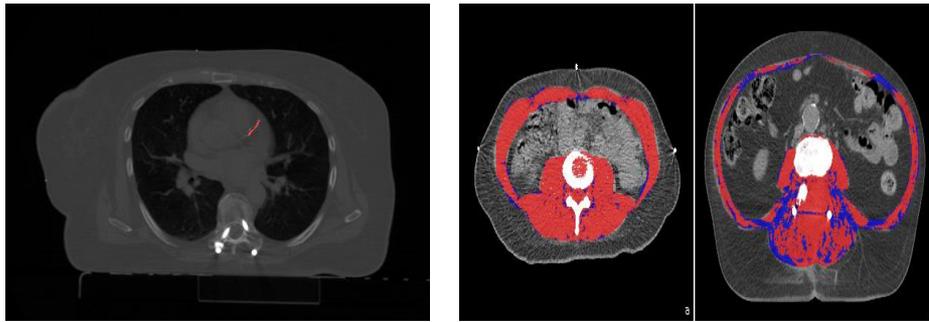
*n*=98



# TwICs in clinical oncology: What have we learnt?

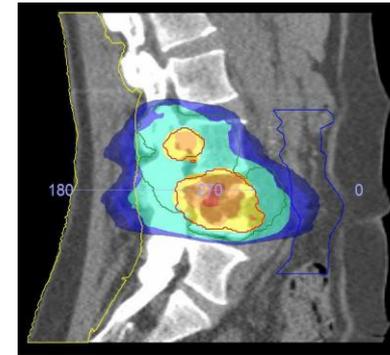
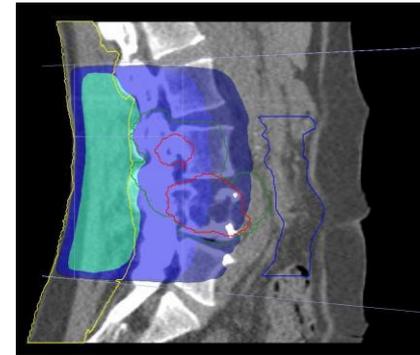
- Staged informed consent is acceptable to patients and IRB's
- Consider sequential or batch randomization
- Non-acceptance and non-compliance depend on intervention
- Be realistic (and not optimistic) about refusal of offered intervention
- Control patients are mostly positive or neutral about being control without further notification.



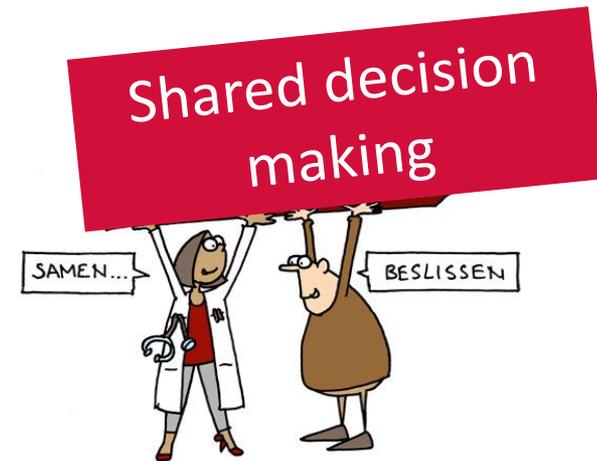
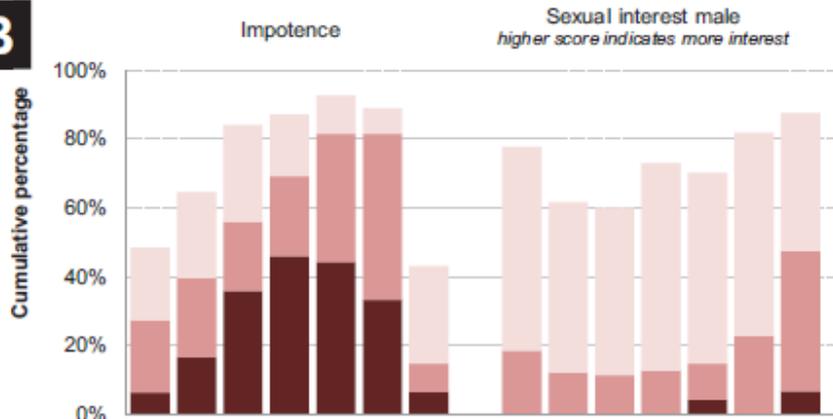


# Stereotactic Radiotherapy Followed by Surgical Stabilization Within 24 h for Unstable Spinal Metastases; A Stage I/IIa Study According to the IDEAL Framework

Anne L. Versteeg<sup>1</sup>, Joanne M. van der Velden<sup>2</sup>, Jochem Hes<sup>2</sup>, Wietse Eppinga<sup>2</sup>, Nicolien Kasperts<sup>2</sup>, Helena M. Verkooijen<sup>2</sup>, F. C. Oner<sup>1</sup>, Enrica Seravalli<sup>2</sup> and Jorrit-Jan Verlaan<sup>1\*</sup>



**B**



**Thank you**

**Analysis of Trials within Cohorts** - Tuesday 25th May  
**Ethics of Trials within Cohorts** - Thursday 27th May

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[www.twics.global](http://www.twics.global)



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